

Attorney's Docket No. 208.6160CT

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Gao et al.  
Serial No.: 10/760,007  
Filed: January 16, 2004  
For: **LITHIUM METAL DISPERSION IN SECONDARY BATTERY ANODES**

Confirmation No.: 8670  
Group Art Unit: 1745

Date: September 21, 2006

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97(b)**

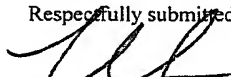
Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the amendment by the U.S. Patent and Trademark Office to 37 C.F.R. § 1.98(a)(2)(ii) effective October 21, 2004.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b), within three months of the filing date of the above-referenced application or before the mailing of a first Office Action on the merits, whichever event occurs last. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. §1.56 and Section 609 of the MPEP.

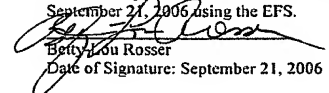
Respectfully submitted,

  
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**CERTIFICATION OF ELECTRONIC TRANSMISSION  
UNDER 37 CFR § 1.8**

I hereby certify that this correspondence is being transmitted electronically to the U.S. Patent and Trademark Office on September 21, 2006 using the EFS.

  
Betty Lou Rosser  
Date of Signature: September 21, 2006

Substitute form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT***(use as many sheets as necessary)*Sheet **C1 of 1****Complete if Known**

Application Number	10/760,007
Filing Date	01/16/2004
First Named Inventor	Yuan Gao
Group Art Unit	1745
Examiner Name	Unknown
Attorney Docket Number	208-6160CT

**U.S. PATENTS AND PATENT PUBLICATIONS**

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
	1.	US- 20050130043	A1	Gao et al.	06/16/2005
	2.	US-6,706,447	B2	Gao et al.	03/16/2004
	3.	US-2004/0002005	A1	Gao et al.	01/01/2004
	4.	US-2002/0119373	A1	Gao et al.	08/29/2002
	5.	US-5,573,388		Koksang et al.	05/19/1998
	6.	US- 5,707,756		Inoue et al.	01/1998
	7.	US- 6,387,564		Yamashita et al.	05/2002
	8.	US- 6,465,126		Jannasch et al.	10/2002
	9.	US- 6,168,885		Narang et al.	01/2001
	10.	US- 6,183,911	B1	Kweon et al.	02/2001

**U.S. PATENT APPLICATIONS**

Examiner Initials*	Cite No.	U.S. Serial No.	Name of Applicant of Cited Document	Date of Filing of Cited Document MM-DD-YYYY
	11.	US-10/900,715	Gao et al.	07/28/2004
	12.	US-10/324,611	Gao et al.	12/20/2002
		US-		
		US-		

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation
		Office	Number	Kind Code (if known)			

**OTHER NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	13.	Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration, PCT/US2004/24502, December 7, 2004	

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.